MINISTRY OF EDUCATION AND SCIENCE OF THE REPUBLIC OF KAZAKHSTAN

CASPIAN UNIVERSITY

GRADUATE SCHOOL OF ECONOMICS AND MANAGEMENT

DIRECTION OF TRAINING 6B041 "BUSINESS AND MANAGEMENT"

"Approved"
at the meeting of the EMC
Chairperson
N.S. Duysengulova
August 26, 2021, No. 1

Educational program - 6B04111 - "Corporate Finance"

Form of study - full-time

SILLABUS

in the discipline "Currency transactions (in English)"

Course: 2
Semester: 3
Number of credits: 5
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Introduction

The discipline "Currency transactions" examines the study by students of organizational, economic and legal instruments, with the help of which the work of the currency market as a whole is implemented and the conduct of currency transactions not only in the domestic, but also in the foreign market. The discipline is focused on the formation of the student's professionally applied competence.

The teaching method of this discipline provides for:

– lectures;

– conducting practical exercises;

– IWS, including under the guidance of a teacher IWS.

The purpose of teaching the discipline "Currency transactions" is to form students' professional knowledge in the field of organizing currency transactions.

The tasks of studying this discipline are to provide the student with knowledge on the organization of the foreign exchange market and types of foreign exchange transactions, along with the procedure for conducting foreign exchange regulation and foreign exchange control.

Prerequisites: money, credit, banks, securities market, economic theory, banking.

Post requisites: international finance, international banking, world economy.

Students, having studied the discipline "Currency transactions", must:

Know:

– Features of the functioning of foreign exchange markets.

– Features of the currency legislation of the Republic of Kazakhstan;

– Forms of international settlements;

– Maintenance of stock exchanges.

Be able to:

– Analyze exchange rates;

– Analyze the work of stock exchanges;

– Assess the dynamics of financial indicators for the banking and real sectors of the economy;

Master skills:

– Work with international directories and bulletins;

– Calculation of economic and socio-economic indicators using standard methods and regulatory framework regarding foreign exchange transactions;

– Analysis and interpretation of domestic and foreign data for the preparation of an analytical report.

Based on the acquired knowledge, skills and abilities, the student acquires competencies that:

– Allow to use the basics of economic knowledge in various fields of activity;

– Allow to apply knowledge in the field of currency regulation and control;

– Provide an opportunity for self-organization and self-development;

– Allow to collect and analyze the initial data;

– Able to participate in interbank settlements;

– Able to carry out active, passive and intermediary operations.

Calendar-thematic plan of the discipline
06/09/2021 – 20/12/2021.

Week	Topic name	Practical / laboratory lesson		Literature
1 (6.09.2021-10.09.2021)	Topic 1. The essence and structure of the foreign exchange market	Lecture, Practical / laboratory works	1. Describe the foreign exchange market by choosing two countries from the proposed ones: USA, Japan, China, England, Germany, France. 2. Identify the differences	Additional literature No. 2 (P.16-27), No. 3 (P.358-369).
2 (13.09.2021-17.09.2021)	Topic 2. Currency: concept and classification	Lecture, Practical / laboratory works	Prepare a report in the currency of one of the countries of your choice	Main literature No. 4 (P.11-25), No. 6 (P.7-12).
3 (20.09.2021-24.09.2021)	Topic 3. Exchange rate and factors influencing it	Lecture, Practical / laboratory works	Express the exchange rates of two currencies to each other	Basic Literature No. 11 (e-textbook by reference in the list of references)
4 (27.09.2021-1.10.2021)	Topic 4. Currency legislation of the Republic of Kazakhstan and its features	Lecture, Practical / laboratory works	Write down the procedure for the adoption, amendment and amendment of the currency legislation of the Republic of Kazakhstan until today	Main literature No. 1 (reference to the Law of the Republic of Kazakhstan https://online.zakon.kz/document/?doc_id=33740496)
5 (4.10.2021-8.10.2021)	Topic 5. Foreign exchange transactions in the foreign exchange market	Lecture, Practical / laboratory works	Study the lecture and prepare for the survey	Main literature No. 1 (reference to the Law of the Republic of Kazakhstan https://online.zakon.kz/document/?doc_id=33740496)
6 (11.10.2021-15.10.2021)	Topic 6. Stock exchange	Lecture, Practical / laboratory works	Prepare a presentation on the topic of stock exchanges of the proposed countries	Main literature No. 2 (p. 122-159)
7 (1.11.2021-5.11.2021)	Topic 6. Stock exchange	Lecture, Practical / laboratory works	Prepare a presentation on the topic of stock exchanges of the proposed countries	Main literature No. 2 (p. 122-159)
7-8 weeks – Midterm control No. 1 Rating 1 = PT1+MC1: 2 (18-22/10/2021 г.)				
9 (8.11.2021-12.11.2021)	Topic 7. Derivatives of the foreign exchange market	Lecture, Practical / laboratory works	Present one of the derivatives as an example	Main literature No. 14 (P.733-811), No. 15 (P.1-18).

10 (15.11.2021-19.11.2021)	Topic 8. Currency position and its types	Lecture, Practical / laboratory works	Show one of the currency positions as an example	Main literature No. 5 (P.142-171)
11 (22.11.2021-26.11.2021)	Topic 9. Organization of foreign exchange dealing	Lecture, Practical / laboratory works	Study the lecture and prepare for the survey	Main lit-a No. 16 (P.124-158, No. 5 (P.159-163).
12 (29.11.2021-3.12.2021)	Topic 10. International monetary system	Lecture, Practical / laboratory works	Prepare a presentation	Main literature No. 6 (P.87-90), No. 8 (P. 99-105).
13 (6.12.2021-10.12.2021)	Topic 10. International monetary system	Lecture, Practical / laboratory works	Prepare a presentation	Main literature No. 6 (P.87-90), No. 8 (P. 99-105).
14 (13.12.2021-17.12.2021)	Topic 11. Currency risks	Lecture, Practical / laboratory works	Prepare an abstract	Main literature No. 9 (P.9-45), No. 13 (P.7-41)
15-week - Midterm control № 2 Rating 2 = PT 2+MC2 : 2 (13-17/12/2021)				
Winter exam session (20/12/2021-30/12/2021.)				

Thematic plan of practical classes

weeks	Topic name	Form of the lesson	Criteria and distribution of evaluation points
2	Topic 1. The essence and structure of the foreign exchange market	1. Describe the foreign exchange market by choosing two countries from the proposed ones: USA, Japan, China, England, Germany, France. 2. Identify the differences	• correspondence of the content to the stated topic - 20 points • literacy – 20 • originality and independence - 20; • ability to express one's own position 20 • argumentation - 20 Total - 100
3	Topic 2. Currency: concept and classification	Prepare a report in the currency of one of the countries of your choice	Performance rating: • Compliance with the regulations - 20 points; • Correctness – 20 • Availability of presentation – 20 • Logic of presentation -20 • Speech, emotionality - 20 Total - 100

4	Topic 3. Exchange rate and factors influencing it	Express the exchange rates of two currencies to each other	• Correctness and reasonable argumentation of the solution of the problem, application of theoretical material, answers to additional questions on the topic of the lesson 90-100 points; • Correct solution of the problem, sufficient reasoning of one's decision, good knowledge of the theoretical aspects of solving the case, partial answers to additional questions on the topic of the lesson 70 - 89 points; • Partially correct solution of the problem, insufficient argumentation of one's decision, certain knowledge of the theoretical aspects of solving the case, partial answers to additional questions on the topic of the lesson - 50-69 points; • Incorrect solution of the problem, lack of necessary knowledge of the theoretical aspects of solving the incident - 0-49 points.
5	Topic 4. Currency legislation of the Republic of Kazakhstan and its features	Write down the procedure for the adoption, amendment and amendment of the currency legislation of the Republic of Kazakhstan until today	• correspondence of the content to the stated topic - 20 points • literacy – 20 • originality and independence - 20; • ability to express one's own position 20 • argumentation - 20 Total - 100
6	Topic 5. Foreign exchange transactions in the foreign exchange market	Study the lecture and prepare for the survey	Performance rating: • Time – 20 • Correctness – 20 • Availability of presentation – 20 • Logic of presentation – 20 • Speech, emotionality 20 Total - 100
9	Topic 6. Stock exchange	Prepare a presentation on the topic of stock exchanges of the proposed countries	1. The building is designed as a presentation - 20 points 2. The presentation must contain the following information: 3.1. Distinctive features of the stock exchange of the selected country - 40 points; 3.2. Prospects for development (what technologies or other innovations, improvements are planned to be developed/implemented on this stock exchange) - 40 points. Total: 100 *If a student completes a presentation without reflecting the above points, the student receives 20 points. If a presentation is made, but only one of the points is fully reflected - 60 points, etc.
	7-8 weeks – Midterm control No. 1		

10	Topic 7. Derivatives of the foreign exchange market	Present one of the derivatives as an example	• Correctness and reasonable argumentation of the solution of the problem, application of theoretical material, answers to additional questions on the topic of the lesson 90-100 points; • Correct solution of the problem, sufficient reasoning of one's decision, good knowledge of the theoretical aspects of solving the case, partial answers to additional questions on the topic of the lesson 70 - 89 points; • Partially correct solution of the problem, insufficient argumentation of one's decision, certain knowledge of the theoretical aspects of solving the case, partial answers to additional questions on the topic of the lesson - 50-69 points; • Incorrect solution of the problem, lack of necessary knowledge of the theoretical aspects of solving the incident - 0-49 points.
11	Topic 8. Currency position and its types	Show one of the currency positions as an example	• Correctness and reasonable argumentation of the solution of the problem, application of theoretical material, answers to additional questions on the topic of the lesson 90-100 points; • Correct solution of the problem, sufficient reasoning of one's decision, good knowledge of the theoretical aspects of solving the case, partial answers to additional questions on the topic of the lesson 70 - 89 points; • Partially correct solution of the problem, insufficient argumentation of one's decision, certain knowledge of the theoretical aspects of solving the case, partial answers to additional questions on the topic of the lesson - 50-69 points; • Incorrect solution of the problem, lack of necessary knowledge of the theoretical aspects of solving the incident - 0-49 points.
12	Topic 9. Organization of foreign exchange dealing	Study the lecture and prepare for the survey	Performance rating: • Time – 20 • Correctness – 20 • Availability of presentation – 20 • Logic of presentation – 20 • Speech, emotionality 20 Total - 100
13	Topic 10. International monetary system	Prepare a presentation	1. The building is designed as a presentation - 20 points 2. The presentation must contain the following information: 3.1. Distinctive features of the stock exchange of the selected country - 40 points; 3.2. Prospects for development (what technologies or other innovations, improvements are planned to be developed/implemented on this stock exchange) - 40 points. Total: 100 *If a student completes a presentation without reflecting the above points, the student receives 20 points. If a presentation is made, but only one of the points is fully reflected - 60 points, etc.

14	Topic 11. Currency risks	Prepare an abstract	• correspondence of the content to the stated topic - 20 points • literacy – 20 • originality and independence - 20; • ability to express one's own position 20 • argumentation - 20 Total - 100

List of literature:

Main literature

1. The Law of the Republic of Kazakhstan On Currency Regulation and Currency Control dated July 2, 2018 (as amended on 01.01.2020)
2. Kolmykova L.I. Fundamental analysis of financial markets. - M .: ANO NOU MABT "Forex Club, 2008. - 280 p.
3. Polyakova E.V. Finance and credit [Electronic resource]: textbook / Polyakova EV - Electron. text data.— Moscow: Examination, 2008.— 425 p.
4. International monetary and credit relations: textbook and workshop for academic bachelor's degree / AI Evdokimov [and others]; edited by A.I. Evdokimov, I.A.Maksimtsev. - Moscow: Yurayt Publishing House, 2018 .-- 335 p. - (Bachelor. Academic course). - ISBN 978-5-534-03581-0. - Text: electronic // EBS Yurayt [site]. - URL: https://urait.ru/bcode/412688
5. Balabanov I.T., Balabanov A.I. Foreign economic relations. - Textbook. allowance. - M .: Finance and statistics, 2000 .-- 512 p.
6. Zvonova, Elena Anatolyevna. 3-43 International monetary and financial relations. Workshop: educational and practical guide / E.A. Zvonova. - Moscow: KNORUS, 2018 .-- 316 p. - (Bachelor's degree).
7. Krivonos Yu.E. Economic theory. Lecture notes. Taganrog: TTI SFU, 2009.
8. Makhovikova, GA Economic theory: textbook and workshop for undergraduate and specialist / GA Makhovikova, GM Gukasyan, VV Amosova. - 4th ed., Rev. and add. - Moscow: Yurayt Publishing House, 2019 .-- 443 p. - (Bachelor and specialist). - ISBN 978-5-9916-5583-5. - Text: electronic // EBS Yurayt [site]. - URL: https://urait.ru/bcode/432017 (date accessed: 19.08.
9. Financial and economic risks: textbook / E.G. Knyazeva, L.I. Yuzvovich, R. Yu. Lugovtsov, V.V. Fomenko. - Yekaterinburg: Ural Publishing House. University, 2015. - 112 p.
10. Borisov A.N. Gold in the world monetary system. - Questions of economics and law. - Moscow, 2013. - No. 58.- P.137-152.
11. Frolova T.A. World economy Lecture notes. Taganrog: Publishing house of TTI SFedU, 2010. - http://www.aup.ru/books/m215/5_3.htm.
12. Oleinikova I.N., Likholetova N.V. Finance and credit: Study guide. Taganrog: RSSU. Publisher Stupin A.N., 2011. - 435 p.
13. Struchenkova T.V. Currency risks: analysis and management: textbook / T.V. Struchenkov. - M.: KNORUS, 2010 .-- 216 p.
14. Financial instruments / ed. F. Fabozzi; [per. from English E. Vostrikova, D. Kovalevsky, M. Orlova]. - M.: Eksmo, 2010 .-- 864 p. - (Financial Encyclopedia).
15. Balabushkin A.N. Options and Futures. -Publishing house: RTS Stock Exchange. - Toolkit. 2004 - 103 p.
16. Piskulov D.Yu. Theory and practice of foreign exchange dealing (Foreign Exchange and Money Market Operations). Applied manual. - M .: INFRA-M, 1995. - 208 p.

Additional literature

1. Vasilik O.D. Theory of Finance: Textbook. - K .: NIOS, 2000 .-- 416 p.
2. Polyakova E.V. Finance and credit [Electronic resource]: textbook / Polyakova EV - Electron. text data.— M .: Examination, 2008.— 72 p.

3. Nikolaeva I.P. World economy. - Textbook. textbook for universities - World economy / Ed. prof. I.P. Nikolaeva. - 3rd ed., Rev. and add. - M .: UNITI-DANA, 2006.510 s

4. Chernetsova NS Economic theory: textbook / N.S. Chernetsov, V.A. Skvortsova, I.E. Medushevskaya. - M .: KNORUS, 2009. - 264 p.

5. Tagirbekov K.R. Fundamentals of banking (Banking) - M .: Publishing house "INFRA-M", Publishing house "Ves Mir", 2003. - 720 p.

6. Dreiser T. Financier. 736 pages AST, 2020

Course policy:

The discipline "Currency Transactions" is a university component or a component of your choice.

Discipline requirements: the involvement of each student in discussing issues at the webinar, independent mastering of materials for lectures, timely completion of practical assignments and mandatory passing of midterm controls and an exam.

Practical assignments must be submitted on time in accordance with the deadline.

Grading Policy

Alpha Rating	***Digital equivalent system points***	***% content***	***Grade on traditional the system***
A	4,0	95-100	Excellent
A-	3,67	90-94	
B+	3,33	85-89	Good
B	3,0	80-84	
B-	2,67	75-79	
C+	2,33	70-74	
C	2,0	65-69	Satisfactorily
C-	1,67	60-64	
D+	1,33	55-59	
D	1,0	50-54	
FX	0,5	25-49	Unsatisfactorily
F	0	0-24	

Criteria for evaluating the results of midterm control and exam.

In determining the requirements for practical and examination assessments in the discipline "Currency operations (in English)", it is proposed to be guided by the following criteria:

- marks “excellent (100 - 94 points)” - deserves a student who completed the entire course volume by 90% and showed a comprehensive, systematic and in-depth knowledge of the material passed, the ability to freely complete tasks stipulated by the specifics of the question posed. As a rule, the mark “excellent” is given to students who have mastered the interconnection of the basic concepts of the discipline in their meaning in practice, who have shown creative abilities in understanding, presenting and using educational material;

- marks “good (89 - 74 points)” - the student deserves it, having completed the entire course volume by 75% and showing complete knowledge of the material passed, successfully completing the tasks provided for in the course, having mastered the basic literature recommended in the syllabus. As a rule, the rating is “good” for students who have shown a systematic nature of

knowledge in the discipline and are capable of independently replenishing and updating them in the course of further academic work and professional activity;

- “satisfactory (69 - 54 points)” deserves a student who completed the entire course volume by 50% and showed knowledge of the main material passed in the amount necessary for further studies and upcoming work in the profession, coping with the tasks stipulated by the discipline, familiar with The main literature recommended by syllabus. As a rule, the rating is “satisfactory” to students who have made errors of a non-fundamental nature in the answer to the exam and in the performance of examination tasks;

- the rating “unsatisfactory (25 - 0 points)” deserves a student who completed the entire volume of the course below 50% and showed gaps in knowledge of the main material passed, made fundamental mistakes in completing the tasks prescribed by the discipline.

As a rule, the grade “unsatisfactory” is given to students who have not completed the tasks to the full extent required and who can additionally master the discipline in the Summer semester for a fee.

Course policy

The discipline "Financing Innovation (in English)" is a university component or a component of choice.

Discipline requirements: each student's involvement in discussing issues at lectures, practical classes, timely completion of practical tasks and mandatory passing of midterm controls and exam.

Practical assignments must be delivered on time in accordance with the deadline.